



# VINAYAKA MISSION'S RESEARCH FOUNDATION

(Deemed to be University)  
(Declared Under Section 3 of the UGC Act, 1956)



## REGULATIONS GOVERNING DOCTORAL DEGREE (Ph.D.) PROGRAMME

**(VMRF(DU) Ph.D. Regulations - 2022)**



Vinayaka Mission's Research Foundation,  
Sankari Main Road (NH-47), Ariyanoor, Salem - 636 308.



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NAAC



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University Website: [www.vmrfd.edu.com](http://www.vmrfd.edu.com)



## CONTENTS

S. No	Particulars	Page No.
1	Title and Commencement	01
2	Preamble	01
3	Definitions	02
4	Programme Categories	02
5	Academic, Research, Administrative, and Infrastructure Requirements to be fulfilled by the Departments/ Colleges/ Schools for Conducting Ph.D. Programmes	07
6	Eligibility criteria for admission to Ph.D. Programme	07
7	Duration of the Programme	09
8	Place of Research	11
9	Procedure for Admission	12
10	Eligibility criteria for Recognition of Research Supervisor/ Co-Supervisor: Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per Supervisor, etc.	14
11	Research Advisory Committee	18
12	Programme Structure	20
13	Cancellation of Registration	23
14	Change of Title	24
15	Submission of Synopsis	24
16	Submission of Thesis	25
17	The Act of Plagiarism	27
18	Evaluation of Thesis	27
19	Public Viva Voce Examination	28
20	Issuing a Provisional Certificate	29
21	Award of Ph.D. Degree	29
22	Publication of Thesis	29

## ANNEXURES

S. No.	ANNEXURES TITLE	No.	Page No
1	Application For Ph.D. (Ft/Pt) Admission	I	30
2	Undertaking On Attending Theory Classes, Related To Coursework Subjects	II	34
3	Application For Recognizing As Eligible Research Supervisor	III	35
	Acceptance of Supervisor	III a	40
	Acceptance of Research Co-Supervisor	III b	41
4	Minutes of I <sup>st</sup> Dc Meeting	IV	42
	Covering Letter to submit the Half yearly progress reports		44
	Half Yearly Progress Report	IVa	45
	Minutes of the Research Advisory Committee	IVb	47
	Attendance Certificate	IVc	48
	In Service Certificate to be submitted only in the respective working institution Letter Head	IVd	49
5	Application Form For Pre – Ph. D, Examination	V	50
	Course Work Completion Certificate	Va	51
	Directed Course Work Internal Assessment Marks	Vb	52
	Minutes Of The Doctoral Committee Meeting (After-Course Work)	Vd	53
6	Application For Submission Of Synopsis	VI	55
	Manual Preparation Of Ph.D. Synopsis	VI a	57
	Check list While Submitting Ph.D. Synopsis	VI b	61
	Pre Synopsis Doctoral Committee Report	VI c	62
	Model For Cover And Title Page Of Ph.D. Synopsis	VI d	63
	Proforma For Panel Of Examiners	VI e	64
	Proforma For Publication and Conference	VI f	68
7	Application Form For Submission Of Thesis	VII	69
	Guidelines For Thesis Preparation	VII a	70
	Check list While Submitting Ph.D. Thesis	VII b	80
	No Due Certificate	VII c	81
	Model For Cover And Title Page Of Ph.D. Thesis,	VII d	82
8	The Wrapper Colour Of The Ph. D. Thesis	VIII	83



S. No.	ANNEXURES TITLE	No.	Page No
	Faculty Wise		
9	Declaration By The Candidate	IX	84
10	Certificate By The Research Supervisor	X	85
11	Format For Plagiarism Check Certificate	XI	86
12	Ph.D. Thesis Adjudication Report	XII	88
13	Fee Structure		89

**VINAYAKAMMISSION'S RESEARCH FOUNDATION, SALEM  
(Deemed to be University)**

**REGULATIONS GOVERNING**

**DOCTORAL DEGREE (Ph.D.) PROGRAMME**

**[Amended as per the University Grants Commission (Minimum standards and  
Procedure for the Award of Ph.D. Degrees), Regulations, 2022**

**1. Title and Commencement**

In exercise of the powers conferred by the Memorandum of Association of Vinayaka Mission's Research Foundation – Deemed to be University (VMRF(DU)), the Academic Council of VMRF(DU) hereby makes the following regulations in the name of “Doctor of Philosophy (Ph.D.) Regulations for Research at VMRF(DU), in line with the UGC (Minimum Standards & Procedure for Award of Ph.D. Degree) Regulations, 2022 which are subject to modifications, from time to time as decided by the Academic Council & Board of Management of this University. These regulations are applicable to all the approved Ph.D. programmes of VMRF(DU) except Faculty of Nursing\*.

These regulations may be called VMRF(DU) Ph.D. Regulations 2022. These regulations come into force with effect from October 2022 and are subject to such modifications as may be approved by the apex body of the University from time to time.

**2. Preamble**

The Degree of Doctor of Philosophy (Ph.D.) is awarded to a candidate, who, as per these regulations, has submitted a thesis on the basis of original research work done by him/her in any particular discipline or more than one discipline, that makes a contribution to the advancement of knowledge in the field so as to contribute to the betterment of the community and has had the thesis approved by suitably constituted examiners as required.

*\* The Nursing PhD programme shall be governed by the VMRF(DU) DOCTORAL DEGREE (Ph.D.) NURSING PROGRAMME 2021 Regulations*

### 3. Definitions

In the Regulations, unless the context otherwise requires,

- i. “University” means Vinayaka Missions Research Foundation (Deemed to be University)
- ii. “Programme” means Research Advisory Programme leading to the award of Ph.D.
- iii. “Supervisor/Guide” means any faculty member of the University who has been recognized by the University to supervise the research scholars.
- iv. “Research Advisory Committee (RAC)” means a committee constituted by the University for each Scholar to monitor the progress of his/her research work.
- v. “Scholar” means any candidate admitted by the University either under Full-time or Part-time categories for pursuing research for the award of Ph.D. degree of the University.
- vi. “Cumulative Grade Point Average (CGPA)” means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places.
- vii. “Credit” means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit.
- viii. “Course” means a theory subject a directed study prescribed by the Research Advisory Committee for the scholar to undergo as a part of the Ph.D. Programme.
- ix. “Course Work” means courses of study prescribed by the RAC to be undertaken by a student registered for the Ph.D. Degree.
- x. “Degree” means a degree awarded by a Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act.
- xi. “External examiner” means an academician/researcher with published research work who is not part of the Higher Educational Institution where the Ph.D.



scholar has registered for the Ph.D. programme.

- xii. “Foreign Educational Institution” means–(i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate, and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode.
- xiii. “Grade Point” means a numerical weight allotted to each letter grade on a 10-point scale
- xiv. “Interdisciplinary Research” means research conducted by a Ph.D. scholar in two or more academic disciplines;
- xv. “Plagiarism” means the practice of taking someone else’s work or idea and passing them as one’s own;
- xvi. “Research Proposal” means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit with the approval of respective RAC

#### **4. Programme Categories**

There shall be 3 categories of Ph.D.

##### **4.1. Full-Time Ph.D. Programme**

- 4.1.1 All candidates who pursue fulltime research in this University shall belong to this category. Candidates under Full-Time shall do research work in this University and shall be available at the assigned department of the University/Institution during the working hours for curricular, co-curricular and related activities and shall sign in an attendance register on all working days of the Institution, subject to availing leave as per the leave provisions that remain in currently for teaching staff of the Institution.
- 4.1.2 Candidates in employment, who want to pursue Full-Time studies may be sponsored by their employer with leave for the research/Programme period and should get formally relieved from their duty to join the research programme.

- 4.1.3 Candidates who are sponsored by the AICTE (under Quality Improvement Programme (QIP) for Teachers of Engineering Colleges, Pharmacy) or by any other Government Agency and who satisfy the eligibility conditions shall be eligible for Full-Time only, in the disciplines as notified in AICTE Supervisor lines.

## **4.2 Part-Time (Internal) Ph.D. Programme**

- 4.2.1 ***Part-Time (Internal) Candidates:*** All candidates employed as a Full-Time faculty member in VMRF (DU) who pursue Part-Time research in this University shall belong to this category. Provision to pursue Part-Time Ph.D. programme is available only to the Regular Full-Time faculty members of the institutions under the ambit of Vinayaka Mission's Research Foundation (Deemed to be University) VMRF(DU). The non-Teaching staff are not permitted to pursue in part time mode except who possess the academic position.

## **4.3 Part-Time (External) Ph.D. Programme**

- 4.3.1 ***Part-Time (External) Candidates:*** The Candidates working outside VMRF (DU), in Industrial units, Government departments, Research organizations or other Institutions preferably PG institutions (In exceptional cases the Vice Chancellor can give special permission to do research in non-PG Institutions after getting report /NOC from the Institutions where the candidate employed). The University shall obtain a "No Objection Certificate" through the candidate for a Part-Time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:
- i. The candidate is permitted to pursue studies on a Part-Time basis.
  - ii. His/her official duties permit him/her to devote sufficient time for research.
  - iii. If required, he/she will be relieved from the duty to complete the course work.
  - iv. Availability of sufficient learning resources

v. Availability of sufficient laboratory facilities

**4.3.2** The Admission in Part-Time Ph.D. Programme from the industry / R&D organizations candidates shall be admitted subject to the following conditions.

- i. The candidates from Renowned R&D organizations (like Philips, Himalaya, Wipro, Colgate etc.,) with sophisticated research facilities can be allowed to apply the programme.
- ii. The qualified candidates shall be verified based on the approval of subcommittee nominated by the VC.
- iii. The committee shall visit the R&D organization to verify the availability of adequate facilities and effective monitoring system in place.

*Note:*

*During the period of the part time research programme, a minimum period of 180 days of residential attendance as a research scholar will have to be put in by the candidate in respective constituent college of the University where the respective supervisor is employed. This period of attendance may be at a stretch or on several occasions including weekends except holidays. However, they should put in a period of at least 45 days in a year.*

#### **4.4 Conversion of registration from Full-Time to Part-Time and Vice-Versa**

##### **4.4.1 Part time to Full-Time**

- i. A student admitted to a part-time programme may be allowed to change his/her registration to full-time studies at the beginning of a semester upon the recommendation of the Research Advisory Committee (RAC) and with the approval of the University if he/she gets relieved from his/her place of work for a period of at-least two years to do research after successful completion of the course work.
- ii. The student will have to submit two No Objection Certificates from the respective Head of the Institution/organization,
  - a. From the parent organization/institution that he/she worked stating he/she would be allowed to work as a Full-Time



- research scholar at an institute where the Supervisor is working.
- b. From the University's affiliated PG College/Institute where the Supervisor is working for accessing the available facilities.
  - iii. For the purpose of determining the time-period, one half of the period spent as apart-time student will be counted towards full-time studies.
  - iv. In the event of scholar who are unemployed at that moment (wherein they are not able to furnish NOC from the parent institute/organization), the scholar will have to submit an undertaking to the effect that the scholar would work as a Full-Time research scholar at an institute where the Supervisor is working for at-least a period of two years provided if he/she completed of course work.
  - v. Application for change of registration from Part time to Full-Time is permissible only once during the tenure of Ph.D. admission for candidate.
  - vi. No change of Discipline/Branch/Topic will be considered.
  - vii. Once the Change of registration is permitted all norms/guidelines/rules applicable as prescribed for Full-Time Research scholars.

#### **4.4.2 Full-Time to Part-Time**

Conversion of registration from Full-Time to Part-Time may be permitted if the candidate gets employment in an academic/research/professional/technical institution and fulfil the conditions as stated in clause 4.3.

Concealing factual information to get any of the above conversion is a serious offence, and the candidate stands the risk of cancellation of registration.

#### **4.4.3** The candidates have to pay the prescribed fee for such conversions.

## **5. Academic, Research, Administrative, and Infrastructure Requirements to be fulfilled by the Departments/ Colleges/ Schools for Conducting Ph.D. Programmes**

The following are eligible to seek admission to the Ph.D. programme

- i. Post-graduate Colleges of VMRF(DU) offering 4-year Undergraduate Programmes (as per National Education Policy 2020) and/or Post-graduate Programmes, may conduct Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.
- ii. At least two faculty members in a college or two Ph.D.-qualified scientists in the research institution.
- iii. To offer a PhD programme constituent colleges/ Departments should run PG programme in the respective discipline and minimum of 5 years of successful running in PG programmes. Adequate infrastructure, administrative support, research facilities and library resources is to be ensured in line with requirements of running research programmes.

## **6. Eligibility criteria for admission to Ph.D. Programme**

The following are eligible to seek admission to the Ph.D. programme

- 6.1. Candidates who have completed:** A 1-year/2-semester master's degree program after a 4-year/8-semester bachelor's degree program or a 2-year/4-semester master's degree program after a 3-year bachelor's degree program or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the

decision of the Commission from time to time. Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree program should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

6.2. **Candidates who have completed the M.Phil. program** with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. program. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC(non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the UGC/ University from time to time.

- 6.3. i. The candidates with disability (more than 40% disability) may be allowed a relaxation of one year for Ph.D in the maximum duration.
- ii. In addition, the women candidates may be provided Maternity Leave/ Child care Leave once in the entire duration of Ph.D. for up to 240 days. However, the candidates shall complete the minimum duration required.

6.4. Guidelines for Interdisciplinary Research

- i. Interdisciplinary Research shall be allowed and encouraged.
- ii. Eligibility for admission remains the same.
- iii. Registration for Ph.D. cannot be done in another subject.
- iv. For registration the candidate must get consent letters from two supervising teachers, one in his/her core subject and the other in the area of the subject in which he/she prefer the choice of subject.
- v. In case of topics which are of inter/multi-disciplinary nature where the



Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.

- vi. Ph.D. Registration in such cases can be given on the recommendation of a Committee consisting of,
  - a. Two supervising teachers
  - b. Deans of both Faculties
  - c. Head of the Department and the head of the proposed Centre of Research if any and
- vii. The recommendation may be approved by the Vice Chancellor for Registration and further action.
- viii. The entrance test for admission can be written in the core subject (PG qualification among the two subjects concerned) of the candidate.
- ix. The Parent department discipline shall be considered from where students admitted for award of degree.
- x. Part Time mode Ph.D. is not allowed for those who have completed both UG and PG in Open University/ correspondence mode. However, they are allowed to pursue in full time mode.

## **7. Duration of the Programme**

The duration of the programme and the time for submission of the thesis are counted from the date of provisional registration. The minimum and the maximum duration of the programme are given below:

### **7.1. Full-Time**

#### ***i. For all Faculties except Medicine***

The PhD programme shall be a Minimum period of 3 years including course work and maximum of 6 Years.)

*ii. For Faculty of Medicine*

Minimum of 2 years for M. D / M.S and P. G. Diploma (2 to 3 years as prescribed by NMC) and Three Years for candidates with M.Sc.(Medical Subjects) and maximum of 4 and 6 years respectively.

**7.2. Part – Time**

*i. For all Faculties except Medicine*

Minimum period of 4 years and maximum of 8 years.

*ii. For Faculty of Medicine*

Minimum of 3 years for M. D/ M.S. / P. G. Diploma (2 to 3 years as prescribed by NMC) and 4 years for candidates with M. Sc. (Medical Subjects) and maximum of 6 and 8 years, respectively.

**7.3. Reregistration**

After the expiry of the maximum period including the extension granted, a candidate will be permitted to Re-register as per the following regulations.

- i. For those candidates who want to re-register under the same supervisor on the same area of research, the earlier Research Advisory Committee and the course works completed will hold good.
- ii. For those candidates who want to re-register with a different supervisor on a different area of research will be subjected to the regulations applicable for the fresh candidates.
- iii. If the area of research is the same, the Research Advisory Committee will decide whether the earlier course works completed can be considered for exemption or not.

**7.4. Attendance Requirement**

Research scholars of Ph.D. (Full-Time) programme have to sign in the attendance register maintained in the department/institution on all working days. Each student is expected to possess a minimum of 75% attendance (10% relaxation in attendance shall be permitted on valid reasons provided with the concurrence and permission of the Vice - Chancellor of the University on payment of prescribed fee) in each semester / year,

failing which the candidate will not be permitted to appear for the examinations.

- 7.4.1. At the beginning of the course work candidate required to submit undertaking certificate as per the (Annexure II).

### **7.5. Extension of Maximum Duration**

- 7.5.1. In exceptional circumstances if the Research Advisory Committee recommends and the Research Board deems it fit, a maximum grace period of 1 year beyond the normal maximum period may be granted, by the Vice-Chancellor, six months at a time, to enable the research scholar to submit the thesis. The fees prescribed as from time to time shall be paid beyond the maximum duration.

### **7.6. Break of Study**

- 7.6.1. Permission for break of study in research programme shall be granted up to a maximum period of one year in spells of 6 months at a time. Such period shall be extended for the calculation of duration of the programme to be fulfilled for the minimum duration. The research scholar should remit the programme fee during the break period also.
- 7.6.2. The Vice-Chancellor shall permit break of study of the research scholar, on reasons deemed fit and in extraordinary circumstances like medical grounds, and other compelling reasons which warrant his / her absence from the programme. Permission for break of study shall be obtained before the commencement of the break. Likewise, resumption of study after the break must be reported within ten days after resumption.

## **8. Place of Research**

- 8.1. For Full-Time research scholars, the place of research will be the department and the institution where the supervisor works.
- 8.2. For Part-Time research scholars the place or places of research will be the place of working of the candidate and/or the place of working of the supervisor / co-supervisor subject to the condition that at least one of these places either as a PG institution or R&D organization.



## 9. Procedure for Admission

Admission to the Ph.D. programme shall be made using the following methods:

The university will release advertisement in leading dailies/ print media and university websites at appropriate time with the number of vacancies. There shall be normally 2 sessions in a year for registration in April & October subject to vacancies. The application form can be downloaded from the university website [www.vinayakamission.com](http://www.vinayakamission.com). (Annexure I) The filled-in application to be submitted along with prescribed fee and the relevant certificates based on the advertisement released by the University.

9.1. Admission shall be made through an Entrance Test / Interview conducted at National Level by the university. Those who qualified for fellowship/ Scholarship in UGC – NET / UGC – CSIR NET /GATE/ CEED and similar level national test are exempted from the entrance test, but have to appear for the interview. However M.Phil. Full-Time/Part-Time candidates are not exempted from the entrance Examinations.

9.2. The candidates desirous of pursuing Ph.D. degree shall submit the application in the prescribed form through proper channel wherever applicable, on or before the deadline mentioned in each session/quarter of the year to the University. The application form (Annexure I) can be downloaded from university website [www.vinayakamission.com](http://www.vinayakamission.com).

- i. An Entrance Examination shall be with qualifying marks as 50% & a relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (Non- Creamy layers)/ EWS (Economically Weaker Section) differently- abled category and other categories candidates as per the notification of UGC from time to time

Entrance Examination will of two parts

- a. Written Examination
  - b. Interview
- ii. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% of subject specific.

- iii. Candidates who have secured 50 % marks in the entrance test are eligible to be called for the interview.
  - iv. The university may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
  - v. A Weight age of 70% to the entrance test & 30% to the performance in the interview/Viva Voce shall be given.
  - vi. In interview the candidates are required to discuss their research interest/area through a presentation before Research Committee, constituted by the Head of the Institution. The interview shall also consider the following aspects, namely whether:
    - a. The candidate possesses the competence for the proposed research.
    - b. The research work can be suitably undertaken at the Institution/ College.
    - c. The proposed area of research can contribute to new/additional knowledge.
- 9.3. The University shall maintain a list of Ph.D. supervisors, along with the details of Ph.D. scholars admitted under them on the university website.
- 9.4. A Research Board/committee constituted by the university/ constituent colleges shall determine the suitability of such candidates and the admission to the appropriate field, after giving due consideration to the performance in the entrance test, relevant inter – disciplinary fields of research, if any, and the faculty in which the candidate shall be registered, keeping in view the Research Supervisor lines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time if necessary. The respective committee will forward the list of selected candidates along with the applications of all the candidates to the Ph.D. Section.
- 9.5. The Ph. D Section will seek the approval of the Vice-Chancellor for the provisional registration of the selected candidates.
- 9.6. The Candidates whose registration has been approved by the Vice

Chancellor shall be provisionally admitted to the Ph.D. Programme with intimation to the concerned Heads /Deans of the Institution, HOD, supervisor, the candidate, and the sponsoring institution, if any.

- 9.7. On receipt of the Provisional registration of the candidates, the supervisors of the students shall recommend a panel of Six names (Internal & External - each 3) for forming the Research Advisory Committee (RAC) as per the clause 11 below for their respective students and send the same to the Ph.D. Section. The Ph.D. Section shall confirm the Research Advisory Committee as in clause 11 below, get the approval of the Vice-Chancellor and communicate the same to the respective supervisors further action.

**10. Eligibility criteria for Recognition of Research Supervisor/ Co-Supervisor: Eligibility criteria to be a Research Supervisor, Co- Supervisor, Number of Ph.D. scholars permissible per Supervisor, etc.**

- 10.1. Only a Full-Time regular faculty members of the Vinayaka Mission's Research Foundation (Deemed to be University) working in post graduate departments/ constituent colleges/ Schools can act as a supervisor. External supervisors are not allowed. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- i. In Faculty of Medicine a Research Supervisor shall have not less than 15 years of teaching experience after obtaining his/her postgraduate degree and shall also have not less than ten years of postgraduate teaching experience as a faculty member with at least 3 publications in a referred / indexed journal (\*Scopus & Web of Science and UGC Care Journals), even if he/she does not hold a Ph.D. Degree.
  - ii. For Dentistry, Homoeopathy the Research supervisors not possessing Ph.D. degree shall have not less than 13 years of teaching experience after obtaining his/her postgraduate degree and shall have not less than 8 years of Post graduate teaching experience as a faculty member with at least 3 publications in referred/indexed journal(\*Scopus & Web of Science and UGC Care Journals) in the recent two years.

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- iii. For faculty members possessing the Ph.D. degree shall follow the below conditions (clause 10.2)
- 10.2. For others, the faculty member should have a Ph.D. degree.
- i) Any Professor of the University minimum five research publications in indexed journals (\*Scopus & Web of Science and UGC Care Journals) in the last 5 years may be recognized as a research supervisor.
  - ii) Associate Professor atleast one year of post Ph.D. teaching experience and minimum of five Ph.D. Publications in indexed Journals (\*Scopus & Web of Science and UGC Care Journals) in which one should be post Ph.D. publication.
  - iii) Assistant Professor at least one years of post Ph.D. teaching experience and minimum of three Ph.D. publications indexed Journals (\*Scopus & Web of Science and UGC Care Journals) in which one should be post Ph.D. publication.  
*(\*Publications should be under first author or corresponding author)*
- 10.3. At any given point of time, a Research Supervisor/co-supervisor who is a professor, can guide up to a maximum of eight (8) Ph.D. scholars, an associate professor as Research Supervisor can guide up to a maximum six (6) Ph.D. scholars and an assistant professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.
- 10.4. Restriction in intake of PT & FT ratio of candidates/ supervisors as per the university direction from time to time.
- 10.5. Such of the persons who satisfy all the requirements stated above shall apply to the university in the prescribed application form (Annexure III) for being recognized as Research Supervisors in their respective disciplines. Recognition as supervisor for guiding research work shall be accorded on the recommendation of the Research Board (BOS research) and approval of the Vice-Chancellor. Those who are in probationary period met eligibility criteria as supervisor can avail the Ph.D. candidate after the completion of their probation.
- 10.6. Co-Supervisors from within the same department or other departments of the
-

same institution or other institutions may be permitted with the approval of the competent authority.

- 10.7. In case of faculty of Medicine / Dentistry/ Homeopathy the Co-Supervisor shall possess a PG degree from a recognized university with minimum 10 years of teaching/research experience after obtaining P. G. and those possesses a Ph.D. Degree with 5 years of teaching experiences after obtaining PG. For other faculties Co-Supervisors should possess Ph.D. degree with minimum one research publication in indexed journals.
- 10.8. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- 10.9. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years. As per the VMRF(DU) service rules a recognized supervisor/co-supervisor for Medicine can officiate up to the age of 70years, therefore he/she shall not enroll new candidates after the age of 67 years. For other faculties the supervisors shall officiate up to the age of 60 years therefore he/she shall not enroll new candidates after the age of 58 for full time and part time the age of 57. Under special circumstances the change in age of supervisors shall be considered case to case subject to the discretionary power of Vice Chancellor. In case of retirement or relieved supervisors permitted to continue the guidance and complete the research and viva of the existing Research Scholars those who completed minimum period of research.
- 10.10. Admission of International students in Ph.D. programme



- i. Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 10.3 above.
  - ii. The selection procedure for Ph.D. admission of international students as per the University and keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.
- 10.11. At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number prescribed in clause 10.3 and clause 10.10(i).
- 10.12. Responsibilities of Supervisor / Co-Supervisor
  - i. The supervisor / co-supervisor should furnish a letter of consent duly forwarded by the Dean / Head of the Institution of service for officiating as a supervisor / co-supervisor for the candidate to be registered. (Annexure III a & Annexure III b).
  - ii. The supervisor shall sign all the papers, declarations, certifications, authentications, log books and other related documents pertaining to the registration, submission of synopsis and thesis etc. of the registered candidate.
  - iii. The supervisor shall also serve as convener in the matters of interaction with the university connected with all the research activities of the scholar, until the award of the degree.
  - iv. The supervisor shall suggest to the university, the members of the Research Advisory Committee and the examiners for the methodology examination & the thesis evaluation.
  - v. The supervisor shall also be a member and convener of the public viva - voce examination committee.
  - vi. When a co-supervisor is available, the supervisor and co-supervisor shall interact with each other in matters relating to the research work of the scholar.
  - vii. When a supervisor will not be available at his / her office for a period exceeding three months, for reasons like illness, foreign trip and any

unforeseen reasons, an interim supervisor will be nominated by the university for supervising the research work of the scholar for the interim period, as follows.

- a. If the period is less than one year and if there is an existing a co-supervisor, the co-supervisor will be the interim supervisor. In special cases if there is no vacancies or non availability of supervisors such period can be considered as independent research to the maximum of four months. In such case Co-supervisor may be allotted to act as supervisor for this interim period until the new supervisor allotted.
- b. In such cases an interim supervisor will be nominated for that period by the university, after consulting the Head of the Department / the scholar and on approval by the Research Board.

10.13. Change of supervisor under any other conditions may be considered under special circumstances with the concurrence from the HoI's/Dean of the institutions the university will allocate a new supervisor. In general only one such change of supervisor is permissible in the entire research programme under ordinary circumstances.

## **11. Research Advisory Committee**

11.1. There shall be a Research Advisory Committee for similar purpose as defined in the Statutes/Ordinance of the university, for each Ph.D. scholar. The supervisor shall furnish for every candidate a panel of 6 experts (3 internal & 3 external) from well versed academia, with Research Advisory qualifications in the field of proposed research, from the recognized higher education institutions/ organizations. The following members shall “constitute the Research Advisory Committee” as approved by the Vice-Chancellor.

- i. The Research Supervisor (Convener)
- ii. Two experts selected and approved by the Vice Chancellor of the respective faculty from a panel of six experts(3 Internal & 3 External) recommended by the Research Supervisor

- One Internal Expert from the same department or from other Department of the same institutions the candidate Registered
  - One External expert from outside VMRF (DU) institutions(preferably with in 500kms radius of the Institution)
  - The Research Advisory Committee members shall meet the minimum eligibility criteria prescribed for eligible Research Supervisors
- iii. The Co-guide, if any.

The Research Advisory committee shall have the following responsibilities:

- To review the research proposal and finalize the topic of research
- To guide the research scholar in respect of the proposed research work and to identify the course(s) that he / she may have to do.
- To periodically review and assist in the progress of the research work of the research scholar.
- To review the pre synopsis presentation of the research scholar.
- To Act as an Academic Integrity Panel for Plagiarism.

In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.

Institutional ethical committee clearance is to be obtained in case of research in Medicine/ Dentistry/Nursing/ Pharmacy and other areas, wherever necessary.

11.2. The 1st Research Advisory Committee shall be convened to propose the title/broad topic and to prescribe the course work (the title and syllabus of paper 2 and 3) to undergo by the candidate. The minutes of meeting shall be submitted as per the Annexure IV.

11.3. A research scholar shall appear before the Research Advisory Committee once in

six months (from the date of provisional registration) to make a presentation of the progress of his/her work for evaluation and further guidance. During the presentation candidate required to submit the prescribed half yearly progress report (HPR) to the RAC for review. The HPR and minutes of the meeting shall be duly signed by the respective RAC members and shall be forwarded by the concerned HoI's. The research scholars must submit their half yearly progress reports until their synopsis submission along with enclosures such as Attendance certificate & Minutes shall be submitted in the prescribed format (Annexure IVa & Annexure Vd, Annexure Ve) through the research supervisors with the Research Advisory committee comments to the Ph.D Section (Deputy Director Research). After the successful course completion every scholar shall submit (Annexure Vc) with Minutes. In service certificate shall be submitted once in every year those who are pursuing Ph.D. in Part-Time mode (Annexure Vf).

- 11.4. In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the University with specific reasons for cancellation of the registration of the research scholar.

## **12. Programme Structure**

- 12.1. Course Work & Assessment (For all disciplines)

The research scholars should complete a minimum of following three courses (minimum of 12credits) prescribed by the Research Advisory Committee

- 12.2. The courses shall be

- i. Research methodology including research and publication ethics (as per UGC) for the subject.
- ii. A back- ground subject related to his/her Ph.D. research.
- iii. An advanced subject in the area of research

- 12.3. The course work shall be completed within a period of the first two semesters.
- 12.4. The research scholar shall submit the prescribed application (Annexure V) and course work completion certificate and IA [Annexure Va&Vb] & fee for attending the final examination. The final examination for the course work is centralized and conducted by the Controller of Examinations along with the end semester examinations of other programmes. A research scholar has to obtain a minimum of 55% marks in the course work or its equivalent grade in the UGC 10 point scale is to be eligible to continue the programme and submit his/her thesis.
- 12.5. If the candidate fails to get the minimum of 55% marks, then a grace period of maximum of six months shall be given at the end of which the research scholar shall be re-examined. Then, if found fit, RAC committee shall submit the minutes of the RAC meeting for the course completion (Annexure Vc) the research scholar shall be permitted to proceed (Registration Confirmation) with the Research work. Otherwise, the research scholar's provisional registration shall be cancelled.
- 12.6. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme if applicable.
- 12.7. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
- 12.8. Directed Course

The directed course is done under the guidance of the Supervisor.

For research methodology, the internal assessment (IA) for the course work should be followed with the following components,

- |     |            |   |          |
|-----|------------|---|----------|
| i.  | 5 Seminars | - | 15 Marks |
| ii. | 3 Tests    | - | 15 Marks |



iii. Open access Publishing	-	05 Marks
iv. Publication Misconduct	-	05 Marks
v. Databases and Research Metrics	-	10 Marks
<hr/>		
Total	-	50 Marks

Whereas, for paper II and III the internal assessment for the course work should be followed with the following components.

i. 5 Seminars	-	15 Marks
ii. 3 Tests	-	15 Marks
<hr/>		
Total	-	30 Marks

The syllabus for the directed course is assigned to the above components in such a way that the entire syllabus is covered. The schedule for the course work shall be prepared by the Supervisor who teaches the course work and the IA marks should be submitted to the Controller of Examinations well in advance as per the Annexure Vb.

All the candidates have to appear for an External End Semester examination in each subject conducted by the Controller of Examinations of the university. The end semester examinations marks for research methodology is 50 and for paper II and III 70 for each. The passing minimum is 50% marks in the end semester examination. Whereas the overall passing minimum is 55% per course marks.

#### 12.9. Letter Grades and Grade Points (GP):

Based on the performance in each subject, the student is awarded a final letter grade. The letter grades and the corresponding grade points are as follows.

SI. No.	Letter Grade	Grade	Grade Point
1	O	Outstanding	10
2	A+	Excellent	9
3	A	Very Good	8
4	B+	Good	7
5	B	Above Average	6
6	C	Average	5

7	P	Pass	4
8	F	Fail	0
9	Ab	Absent	0

Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 10-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the thesis. A scholar who gets less than C grade in a course work, he/she has to redo that course.

A research scholar shall take a comprehensive examination within the completion of two semesters of his/her research programme and by passing examination for the course work but before the completion of four semesters. The comprehensive examination is mandatory.

If the performance of the research scholar in the comprehensive examination is satisfactory, his/her provisional registration shall be confirmed. If the performance is unsatisfactory, he/she shall be given one more opportunity to appear for the examination within six months of the first examination. In case, the research scholar fails to successfully complete the comprehensive examination within the prescribed time limit, his/her provisional registration shall be cancelled by the University.

### **13. Cancellation of Registration**

- i. If the research scholar fails to submit the thesis within the period of maximum duration, the registration shall automatically stand cancelled without notice to the candidate/ supervisor, unless the candidate applies for an extension with justification along with the recommendation of the supervisor in the prescribed format and the request is complied with.
- ii. A prerequisite for consideration of such a request is that all fee payable to the university for the period already approved should have been paid.
- iii. The extension, if approved, will only be provisional to start with, and shall be approved only on payment of fees for the extended period.
- iv. If the research scholar fails to submit the thesis within the extended period, the registration shall be cancelled automatically without notice to the candidate/ supervisor.

- v. The registration of a research scholar whose progress is not satisfactory or who has not reported in person to the supervisor for any one semester/or if found guilty for violation of research ethics/ regulations is liable to be cancelled by the University.
- vi. The registration of a research scholar who has not submitted his/ her thesis by the end of the prescribed /extended period as provided in the Regulations will be cancelled by the University.

#### **14. Change of Title**

Normally a student shall complete Research Advisory research on the subject title approved by Research Advisory Committee (RAC), under the supervision of the research guide. After approval, the change of title cannot be entertained after the course work completion period. Only minor changes such as addition and deletion of words shall be permitted. However, under exceptional circumstances, a student may be permitted, only once, to change the title and objectives of research. Such change may necessitate seeking fresh approval from RAC and the Ethics Committee if necessary. In such cases, the student has to work for another minimum essential period (12 more months for full time and 18 months for part time) before being eligible to submit PhD Thesis. If the candidate has already completed the course work or attended the mandatory lecturers he/she will not repeat the same. However, a consent may be received from RAC. Under no circumstances, a request for change in the title be entitled once the synopsis is submitted

#### **15. Submission of Synopsis**

- i. On completion of the minimum period of research work, not less than three months before the submission of the thesis, every candidate shall submit, a copy of synopsis along with the application (Annexure VI) Manual preparation for synopsis (Annexure VI a) checklist for synopsis (Annexure VI b) and the prescribed fee to the university through the Research Supervisor.
- ii. The synopsis shall be accepted only when the research scholar has passed the course work exams and must have publication of at least two original research papers from the research work carried out by the scholar in indexed journal (SCOPUS/Web of Science/ PubMed/IEEE/UGC CARE LISTED) and two paper

presentations in conferences/seminars and evidence to be produced for the same in the form of presentation certificate and / or reprints.

- iii. Prior to the submission of synopsis, the scholar shall make a pre- presentation in the department before the Research Advisory Committee of the institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft synopsis in consultation with the Research Supervisor. The Pre- synopsis RAC Report (Annexure VIc) shall be submitted during the Synopsis submission.
- iv. The synopsis shall be 30 type written or printed pages(one side only of A4 size – 1 1/2 line spacing using a font size of 12 with Times New Roman font) (Annexure VI d). The synopsis (wrapper) may contain the details as shown in the format prescribed by the university (Annexure VIII). Thesis submission is to be done after clearance of the synopsis by the Research Advisory Committee and permitted by the Vice – Chancellor.
- v. The Research Supervisor on approval of the research work reported in the synopsis, shall forward three hard copies and one soft copy of the approved synopsis to the Ph.D. Section along with a panel of at least five examiners from India, and five from abroad (Annexure VI e).

## **16. Submission of Thesis**

- i. The thesis shall report, in an organized and scholarly fashion, an account of original research work of the research scholar leading to the discovery of new facts or techniques or correlation of facts already known (analytical, experimental, hardware oriented etc) and demonstrating a quality as to make a definite contribution to the advancement of knowledge of mankind and the research scholar's ability to undertake sustained research and present the findings in an appropriate manner with actual accomplishments of the work plainly stated and honestly appraised
- ii. The student should submit the copies of the thesis in bound form (3 - Spiral Binding) and with a softcopy (including scanned certificates) for Ph.D. at the time

- of submission for evaluation. Once the thesis is accepted, it is the student's responsibility to get it properly bound before and depositing 4 copies (1. University copy 2. Institution/Library copy 3. Research Supervisor copy 4. Research Scholar copy) at the time of Viva Voce Examination. The front cover of the bound copy should be the same as the title page of the thesis. The front cover should have printing on the side to include the author's name, abbreviated thesis title (optional), degree, department, and the year. Thesis shall be submitted not earlier than three months and not later than six months, from the approval of the synopsis by the Research Advisory Committee to the university along with application (Annexure VII) & Guidelines for Thesis (Annexure VII a) Check list (Annexure VII b) no dues Certificate (Annexure VII c) along with prescribed fee.
- iii. The thesis shall be prepared strictly following the formats and specifications prescribed. The title page (Annexure VII d), declaration of candidate (Annexure IX ), certificate by the supervisor (Annexure X), quality, size, numbering and titles of diagrams, tables and photographs, if any, list of references, numbering of pages and size of the margins etc shall be as per formats approved by the university. The running matter shall be typed or printed on one side only on A4 size white paper with 1½ line spacing, using font size 12 with Times New Roman font. The wrapper of the thesis may contain details as shown in the format prescribed by the university (Annexure VIII)
  - iv. The Research Scholar Shall Adhere the University VMRF(DU) Academic Integrity and Prevention of Plagiarism Regulations, 2019 in line with UGC Regulations dt.23.07.2019. Plagiarism Certificate shall be obtained from the Institution/Department Academic Integrity Panel (Annexure XI). Shall be enclosed at the end of the thesis.
  - v. No candidate shall ordinarily be permitted to submit his/her thesis after maximum period mentioned in clause 6. Provided that the university may for valid reasons and on the recommendations of his supervisor, grant extension of time for not more than one year to the candidate. A candidate, who is not able to submit his/ her thesis even after the grant of extension of one year the registration stands cancelled.



**17. The Act of Plagiarism**

- i. If a dissertation / thesis submitted contains material copied, even partially, except quoted for illustration, comparative or literature study or reference, with due acknowledgement, from any published or pre-published paper, journal article, note, dissertation, thesis, book or work based on which a degree, diploma or certificate has been awarded to the scholar or any other, in any hard or soft form, then the dissertation/thesis so produced will be derecognized and the scholar's registration at this University will be cancelled and the scholar will be debarred from registering for any programme with this University any further. The Ph.D degree, if already awarded, may also be withdrawn, if the nature of the malpractice warrants.
- ii. Penalty in the case of Plagiarism shall be imposed as per the VMRF(DU) Academic Integrity and Prevention of plagiarism regulations, 2019 in line with UGC Regulations dt.23.07.2019

**18. Evaluation of Thesis**

- i. The Thesis shall be referred to two examiners (one from India and the other from abroad) nominated by the Vice-Chancellor from the panel of examiners.. The Vice-Chancellor, if he deems fit, may also nominate the examiners from outside the panel.
- ii. The examiners are expected to send their reports of adjudication in the prescribed format (Annexure XII) within two months from the date of receipt of the thesis.
- iii. The examiner shall include in his/her report an overall assessment placing the thesis in any one of the categories. Highly commended / Commended / Revision required / Rejected.
- iv. For the cases of revision/rejection, the examiner shall mandatorily enclose a report of 200 to 300 words, indicating the nature of revision required and the reasons for rejection, as the case may be.
- v. The supervisor shall consolidate the salient features of the reports sent by the examiners and submit to the university.
- vi. If both the examiners have either Highly Commended or Commended the

research work and recommended the award of the degree, the thesis shall be provisionally accepted. Any minor revision, modification etc., suggested by the examiners shall be brought to the notice of the research scholar and be carried out by the research scholar before the public viva - voce examination is arranged.

- a. If both examiners recommended rejection, the thesis shall be rejected and the registration of the research scholar shall stand cancelled.
- b. If one examiner recommends the award of the degree while the other recommends rejection, then the thesis shall be referred to a third examiner of the same category (from within India or outside India) to be nominated by the Vice-Chancellor from within or outside the panel. If two of three examiners recommend the award, the thesis shall be provisionally accepted. If two of the examiners recommend rejection, the thesis shall be rejected and the registration of the research scholar shall stand cancelled.
- c. In case where revision and resubmission of the thesis is recommended, candidate shall be permitted only once to revise and resubmit the thesis along with plagiarism report within six months and the revised thesis shall be referred to the same examiner.

### 19. Public Viva Voce Examination

- i. The viva - voce examination board shall be constituted by the Vice-Chancellor as follows:

Examiner of the thesis in India or a specialist in the subject from the panel (in the absence of the former)	Member
Supervisor of the candidate in the university	Member- Convenor

If necessary, the Vice-Chancellor may nominate the co-supervisor or a member from outside the panel as an additional member.

- ii. The viva -voce examination shall be conducted as “Open Defence Type” examination.
- iii. The results of the viva - voce examination, duly endorsed by the examiners, together

with the list of participants, their designation, address and signature shall be forwarded to the university by the supervisor.

- iv. If the performance of the research scholar at the public viva - voce Examination is reported by the viva - voce examination Board to be NOT SATISFACTORY, the research scholar may opt to reappear for the viva - voce examination at a later date, not later than six months from the date of the first viva - voce examination. On the second occasion, the viva - voce Examination Board shall include an additional examiner nominated by the Vice-Chancellor.
- v. If the performance of the candidate at the viva - voce examination on the second occasion is also reported to be NOT SATISFACTORY, the registration shall stand cancelled.
- vi. On satisfactory completion of the viva - voce examination, the candidate shall submit a copy of the thesis in CD-ROM, certified by the supervisor that all the corrections, if any, have been duly incorporated as suggested by the examiners, for UNIVERSITY ARCHIVES. A soft copy of the final approved thesis shall be sent to the UGC for hosting the same in INFLIBNET so as to make it accessible to all research institutions / universities.

### **20. Issuing a Provisional Certificate:**

Prior to the actual award of the Ph.D. degree, the degree-awarding Higher Educational Institution shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations

### **21. Award of Ph.D. Degree**

If the report of the public viva - voce Examination Board is SATISFACTORY, the Candidate will be awarded the Ph.D. Degree with the approval of the apex body of the university.

### **22. Publication of Thesis**

Papers arising out of the thesis may be published by the research scholar. However, the thesis as a whole shall not be published by the candidate without the specific written permission from the university.



# ANNEXURES



## VINAYAKA MISSION'S RESEARCH FOUNDATION, SALEM

(Deemed to be University Declared Under Section 3 of the UGC Act, 1956)

### APPLICATION FOR Ph.D(FT/PT) ADMISSION

(Incomplete application will not considered)

Read the Regulations Governing Doctoral Degree (Ph.D) Program before filling. Wherever a box is provided, place a tick mark (√) inside to indicate "yes". Strike out whichever is not applicable.

1. Name (in BLOCK letters) :  
(As in PG degree Certificate)
2. Name of the Father/Husband :
3. Residence Address :
4. Phone No :
5. Email ID :
6. Details of PG degree Awarded:  
(As per your PG Degree Certificate)  
Faculty :  
Discipline:  
Name of the University :
7. Applying for Ph.D Program (Discipline) : \_\_\_\_\_
8. Date of Birth: Age : Blood Group:
9. Gender : Male  Female
10. Nationality ( copy of Aadhaar to be enclosed) :
11. Nativity (Copy of Nativity certificate to be enclosed)
12. Social Status OC/BC/OBC/OBC (NCL)/SC/ST/PWD  
with Caste Details :  
(Copy of Community Certificate to be enclosed)
13. Blood Group :
14. Mode :  Full Time  Part Time

Affix a recent  
passport size  
photograph

15. If Part-TimeMode submit your current employment details

Designation: \_\_\_\_\_

Department \_\_\_\_\_

Name of the Institution / Organization \_\_\_\_\_  
and address details

Nature of employment : Regular

Scale of pay:

Date from which employed in the present post :

Total teaching experience: Proof to be enclosed :

16. Academic Credits

(\*Enclose copies of Degree certificates and Mark Sheets duly self attested. Start with the latest degree obtained).

\* UG - Copy of Mark Sheets and Degree Certificate

\* PG - Copy of Mark Sheets and Degree Certificate

S. No	Degree	Year of Passing	College	University	Major Discipline/ Specialization	Duration of the programme	Class Obtained	% of Marks/ Obtained CGPA	Mode of Study Full Time/ Part Time/ Distance
1.									

17. Are you qualified in UGC – NET/CSIR/SLET/

GATE/ teacher fellowship holder or equivalent/ : Yes / No ( If yes, Copy to be enclosed)

NET conducted by AYUSH -

18. Area of Research ( Tentative) :

### 19. Particulars of payment of Application Fee:

Name of the Bank & Branch	Demand Draft No	Date	Amount

Note: Application fee of Rs. 1000/- need to be drawn in favour of “**VMRF(DU)PHD**”, payable at Salem.

### 20. Declaration by the candidate

This is to certify that the particulars given above are correct and complete to the best of my knowledge and belief. I am aware that any wrong information or suppression of facts may result in punitive action in addition to cancellation of my candidature for admission to the programme irrespective of the status of my research work.

Place :

Date :

Signature of the Candidate

### 21. Willingness of Supervisor if any :

I am willing to supervise the Ph.D. work of the candidate

Name in CAPITALS :

Mobile No:

Designation and Department :

email id :

College of VMRF where the Supervisor is working:

Signature of Supervisor :

## **CERTIFICATE OF HOD - FOR BOTH FULL-TIME and PART-TIME PROGRAMME**

The candidate, if selected, will be relieved / permitted to undergo Full-time / Part-time research programme in the College of Vinayaka Mission's Research Foundation. During this period, the candidate will be permitted to be present for discussions with the Supervisor, attending course work, carrying out experimental studies, participating in Seminars/meetings and taking examinations related to the programme.

Place :

Date :

**Signature of Head of the Institution**

**Where the candidate intends doing research**

### **FOR PART-TIME PROGRAMME**

#### **CERTIFICATE FROM THE ORGANIZATION WHERE THE CANDIDATE IS EMPLOYED**

Certified that Mr./Mrs. \_\_\_\_\_ is employed as

(Designation) \_\_\_\_\_ in the (Department /Division)

---

of Institution's Name & Address) \_\_\_\_\_

**Our Organization having necessary R' & D facilities and adequate learning resources for conducting research and Our Institution offering Post Graduate program** and has no objection in forwarding his/her application and in pursuing Ph.D Programme at Vinayaka Mission's Research Foundation.

Place :

Date :

**Signature of Head of the Institution/  
Head of the Organization**

Checklist for Documents to be enclosed - Mandatory(Please put Tick Marks)

#### **Both Part time/Full Time aspirants**

1. UG Degree - Copy of Mark Sheets and Degree Certificate Yes / No
2. PG Degree - Copy of Mark Sheets and Degree Certificate Yes / No
3. Copy of Community Certificate Yes / No
4. Copy of Aadhar Card Yes / No
5. Original copy of Nativity Certificate Yes / No





**ANNEXURE – II**

**UNDERTAKING ON**

**ATTENDING THEORY CLASSES, RELATED TO COURSEWORK SUBJECTS**

I,.....,S/oD/o.....

Bearing Registered on ..... the Ph.D. Programme on Part-time / FullTime basis VMRF DU,  
during ..... session

I hereby assure that I shall abide by the Rules & Regulations of the Ph.D. Programme offered by the VMRF DU in all respects.

I also hereby undertake that I will attend the Contact Classes in connection with the coursework subjects and forthcoming coursework examinations to be held at VMRF DU as a preliminary part of Ph.D. Programme and maintain minimum 75% of the Attendance to write each subject, along with recommendations of the Ph.D. Supervisor.

**Date :**

**Signature of the Candidate:**

**Place :**



**ANNEXURE - III**

**APPLICATION FOR RECOGNIZING AS ELIGIBLE  
RESEARCH SUPERVISOR  
(For Eligibility Refer Ph.D Regulations)**

1. Name inBLOCK Letters : .....  
(as entered in the  
qualifying degree  
certificate) .....

Affix a  
recent  
passportsize  
photograph

2. Designation andpresent  
officialaddress : .....

.....

.....

.....PIN.....Phone (with areacode).....

Mobile.....

3. Permanentaddress : .....

.....

.....

.....PIN.....Phone (with areacode).....

Mobile.....

4. Address for communication : .....

.....

.....

.....PIN.....Phone (with areacode).....

Mobile.....



5. a) Date of Birth (DD / MM/YYYY) : ..... b) age : .....
- c) Email id : .....
- d) Date of Joining (VMRF (DU)) : .....
- e). Probable Date of Superannuation : .....

6. Academic Qualification (Details of all the degrees taken, starting with the highest degree)[Please attach attested copies of all the degree certificates]

Degree	Year	University	Subject	Faculty	Class / Division	Mode: Regular / Dist. Edu / etc...
a) Ph.D.						

7. Teaching experience(Regular) (Enclose the Experience Certificate as mandatory duly signed by the Employers)

Programme	Year(s) (From - To)	Institution	University	Subject
Postgraduate				
Graduate				



8. Research experience (Enclose the Proof)

	Year(s)	Institution	University	Subject	No. of papers published in Referred / indexed journals
Ph.D.					

9. Ph.D.details

University	Subject & title of thesis	Faculty/ Division	Date of Viva - Voce

10. List of publications after the award of the Ph.D. degree, in referred/indexed journal(s) (If needed an additional sheet may be used) (Enclose the latest two publications as Proof)

S. No.	Title of paper	Names of authors	Name of the journal	Scopus/WOS, UGC Care (ISSN No.)	Vol . No .	Year

11. Subject / Division and Faculty in which supervisorship is presently sought:

Subject(Division) :  
Faculty :



12. Particulars of supervisorship held (in this and all other Universities)

S. No.	University	No. of candidates		Remarks (if any, on completion date etc)
		As Supervisor	As Co - Supervisor	

This is to certify that the particulars given above are correct and complete to the best of my knowledge and belief. I am aware that any wrong information or suppression of facts may result in punitive action in addition to cancellation of my candidature.

Date :

Seal

Signature

Forwarded

Head of the Department  
Name in BLOCK LETTERS:

Head of the Institution  
Name in BLOCK LETTERS:

Date :

Date :

Seal

Seal

**Note: Journal publications should be listed as per proforma below.**



**Proforma For Publication and Conference**

<b>Name of the Supervisors</b>											
<b>Faculty</b>											
<b>Dicipline</b>											
Journal Publication Details						Indexed Journal					
S.NO	Name of the Authors	Title of the Paper	Name of the Journal	Publication Details (Volume / Issue Page Number ) if Book / Chapters ISSN No.	Month / Year	SCOPUS	Web of Science	PUBMED	IEEE	UGC	URL Link
*Copy of the Reprint to be Enclosed											



**ANNEXURE - III a**

**ACCEPTANCE OF SUPERVISOR  
(For all Internal and External Candidate)**

I, Dr \_\_\_\_\_ have done my Ph.D. in the area  
of \_\_\_\_\_ from the  
department of \_\_\_\_\_  
\_\_\_\_\_ University.

My present work of research is proceeding in the same area / area of  
\_\_\_\_\_ and I am working as \_\_\_\_\_ with  
following research facilities \_\_\_\_\_

\_\_\_\_\_ I consent  
to supervise Mr./Ms. \_\_\_\_\_

Working as a \_\_\_\_\_ at \_\_\_\_\_  
\_\_\_\_\_ in this area. Currently I am guiding \_\_\_\_\_ students.

I declare that the said candidate is not my relative.

Signature :

Seal :



**ANNEXURE - III b**

**ACCEPTANCE OF RESEARCH CO-SUPERVISOR**

I, Dr \_\_\_\_\_ have done my Ph.D. in the area of \_\_\_\_\_ from the department of \_\_\_\_\_ University.

My present work of research is proceeding in the same area/area of \_\_\_\_\_

and I am working as \_\_\_\_\_ with following research facilities \_\_\_\_\_

\_\_\_\_\_ I consent to co-supervise Mr./Ms. \_\_\_\_\_

Working as a \_\_\_\_\_ at \_\_\_\_\_ in this area.

Currently I am guiding \_\_\_\_\_ students.

Encl : (1) Bio-Data  
(2) Three recent publications

Signature :

Signature of the HOI :

(From Working Institution)

Seal :

Seal :





**ANNEXURE - IV**

**MINUTES OF I<sup>st</sup> RESEARCH ADVISORY COMMITTEE  
MEETING**

The **Research Advisory Committee** was convened on \_\_\_\_\_  
at \_\_\_\_\_ for Mr./Ms. \_\_\_\_\_  
\_\_\_\_\_ Faculty/ Discipline of \_\_\_\_\_  
(P.T/F.T) (Internal/External) submitted his/her Ph.D. proposal before the  
committee. He/ She answered to the questions quite satisfactorily and so it is  
recommended that the provisional registration may be granted to the candidate.

**Members Present (RAC)**

1.		RAC Member
2.		RAC Member
3.		Research Supervisor/ Convener

**Major area of the Research work title:**

---

---



**Proposed Course Work Detail:**

<b>S.No</b>	<b>Papers</b>	<b>Title of the Paper</b>
1	Paper I – Research Methodology	Common
2	Paper II – Area of Research	
3	Paper III - Specialization	

Name:	Name:	Name:
Sign:	Sign:	Sign:
<b>RAC Member</b>	<b>RAC Member</b>	<b>Research Supervisor/ Convenor</b>
Sign:	Sign:	Sign:
<b>Head of the Department</b>	<b>Head of the Institution</b>	<b>Deputy Director Research</b>



**Covering Letter to submit the Half yearly progress reports**

From (Official Address)

To  
The Deputy Director (Research),  
Ph.D.Section, VMRF (DU),  
Salem.

**Sub: Submission of report number \_\_\_\_\_ the Half Yearly report for the period from \_\_\_\_\_ to \_\_\_\_\_**

Respected Sir,

Myself \_\_\_\_\_ (Reg.No. \_\_\_\_\_) Part Time/Full Time Ph.D candidate of Vinayaka Missions Research Foundation (Deemed to be University), Salem under the faculty/discipline of \_\_\_\_\_/\_\_\_\_\_ under the Guidance of \_\_\_\_\_ admitted in \_\_\_\_\_ session.

Thanking you,

Yours faithfully,

Place :

Date :

**Enclosures: Please put tick marks**

- |  |        |
|--|--------|
| 1. Hard copy of Half yearly progress report(Annexure IVa)                              | Yes/No |
| 2. Hard copy of Minutes of DC Meeting(Annexure IV b)                                   | Yes/No |
| 3. Attendance Certificate for Half yearly progress period                              | Yes/No |
| 4. In Service Certificate from working institution in letter head (one time in a year) | Yes/No |
| 5. Brief Report of progress made as per protocol/Proposal Submitted                    | Yes/No |
| 6. Participated/Presentation - Conference – /Publication/seminar details               | Yes/No |

**Supervisor Recommendation with signature:**

**Co-Supervisor Recommendation with signature (If applicable):**

**HOD signature with Seal:**

**HOI Signature with Seal:**



ANNEXURE - IV a

HALF YEARLY PROGRESS REPORT

(Report Number \_\_\_\_\_ From \_\_\_\_\_ To \_\_\_\_\_)

**Please read the following instructions before filling:**

The progress report shall be submitted by the candidate before the Research Advisory Committee. After placing before the Research Advisory Committee constituted for the candidate the hard copy of the report should be submitted to the Ph.D section with Minutes of the meeting(Annexure Vd). Two copies to be retained one for Research Supervisor another for Research Scholar.

**Note:** Failure to submit two consecutive six monthly progress reports will entail the cancellation of registration of the candidate by the Vice – Chancellor on a report made by the Research Supervisor and /or the Deputy Director Research in this behalf.

1. Particulars about the candidate:	
(a) Name	
Registration Number	
(b) Designation with working institution address	
(c) Date of provisional registration as per the Ph.D Provisional Registration communication	
(d) Category of registration	PT/FT Internal/External
2. Particulars of the Research Supervisor	
(a) Name	
(b) Designation with working institution address	
3. (a) Name of the Co-Research Supervisor (if applicable)	
(b) Designation Institution where employed	
4. (a) Name of the Internal Research Advisory Committee Member	
(b) Designation Institution where employed	
(a) Name of the External Research Advisory Committee Member	



(b) Designation Institution where employed	
5. Name of Department\Institution where research is conducted	
6. Title of the proposed thesis:	
7. Details of progress of research	
(a) Whether the candidate's report about the work carried out during the period (in about 300words) is enclosed (Mandatory)	
(b) Whether journal have been published (If yes, furnish details)	
(c) Whether seminars/ conferences attended/Progress	
(d) Whether completed the prescribed course work if yes, how many	
8. Has the fee been paid up to date:	
9. Remarks To be filled by the Research Supervisor (Please tick ✓)	
(a) Attendance: Number of days during 6 month period/Yes/No	
(b) Progress Satisfactory:	No, (with reasons)
(c) Whether the Research Supervisor agrees with the scholar's report:	No, (with reasons)
(d)Whether the co- supervisor agrees with the scholar's report:	No, (with reasons)

Signature of the Candidate:

Signature of the Supervisor  
with Seal:

Signature of the Co-Supervisor  
(If applicable) with Seal:

Date:



**ANNEXURE –IV b**

**MINUTES OF THE RESEARCH ADVISORY COMMITTEE**

The ..... Research Advisory Committee Meeting for the

Ph.D Scholar Mr./Ms..... Reg.No.....was held  
on

.....at .....A.M/P.M.in the Department of.....

..... ,VMRF(DU).

The following members were present:

- 1.
- 2.
- 3.
- 4.

The research work and the Power Point Presentation done by the candidate are enclosed. The committee evaluated the research work carried out by the scholar and was satisfied with the progress and performance of the scholar. The committee recommended the Half Yearly Progress report (Annexure IVa) for the period from \_\_\_\_\_ to \_\_\_\_\_ submitted by the candidate. Hence the committee and permits the scholar to continue with his /her research work.

**Supervisor**

**Signature & Seal**

**RAC Member 1**

**Signature & Seal**

**RAC Member 2**

**Signature & Seal**

Date:

Place:



---

**ANNEXURE –1V c**

**Attendance Certificate**

This is to certify that Mr/Ms. \_\_\_\_\_ with  
Reg.No. \_\_\_\_\_ admitted in \_\_\_\_\_ session pursuing Ph.D Full  
Time/Part Time category as per the attendance register has put his/her residential  
attendance as a research scholar in our constituent college of the University during the  
half yearly progress period from \_\_\_\_\_ to \_\_\_\_\_ for \_\_\_\_\_  
days.

**Signature of the Research Supervisor**

**with seal**

**Head of the Institution**

**with seal**

**Place :**

**Date :**



**ANNEXURE – IV d**

**(In Service Certificate to be submitted only in the respective working institution Letter Head)**

**Date:**

**In Service Certificate**

This is to certify that \_\_\_\_\_ joined in \_\_\_\_\_ this Institution on \_\_\_\_\_ as \_\_\_\_\_ continuing as such till date.

During the tenure of his/her services, she/he has been discharging her/his duty to the satisfaction of the institution.

This certificate is issued at the request of the faculty member for the purpose of pursuing her/his Ph.D Program.

**HOI with seal**





**ANNEXURE - V**

**Application form for Pre - Ph. D, Examination**

Name of the candidate :

Discipline of Research :

Registration Number :

Topic of Research :

Name of the Research Supervisor :

Name of the co-supervisor (if any) :

Details of Papers to be written in this session:

<b>S.No</b>	<b>Papers</b>	<b>Title of the Paper</b>
1	Paper I – Research Methodology	Common
2	Paper II – Area of Research	
3	Paper III - Specialization	

Details of examination fees paid : DD for Rs. 15,000/-

DD No. : Dated. :  
Bank : Branch. :

Present Address for communication :

E-mail ID :

Phone No :

Date :

Signature of the Research Supervisor  
with seal

Signature of the candidate

**Note** : DD to be drawn in the name of "VMRF (DU) Ph.D", payable at Salem.



**ANNEXURE - Va**

**COURSE WORK COMPLETION CERTIFICATE**

Certified that the candidate Mr/Ms\_\_\_\_\_pursuing Ph. D.  
in Part Time/Full Time at\_\_\_\_\_

of Vinayaka Mission's Research Foundation (Deemed to be University), Salem,  
working under my guidance, has completed the course work by way of completing  
the directed course work as per Ph.D regulations vide section 12 of the Institution  
deemed to be University and completed the Internal Assessment and by putting  
attendance for ..... days in the year\_\_\_\_\_.

Signature of the Research  
Supervisor with address & seal

Signature of the Head  
of the Institution with  
address & seal

(Enclose the Internal Assessment Marks)



**ANNEXURE - Vb**

**DIRECTED COURSE WORK**

**INTERNAL ASSESSMENT MARKS**

Name of the Candidate :

Registration Number :

S. No.	Paper Title	Internal Assessment		
		Paper I (50)	Paper II (30)	Paper III (30)
1.				
2.				
3.				

**Signature of the Research Supervisor**

**Date:**



**ANNEXURE - V c**

**MINUTES OF THE RESEARCH ADVISORY COMMITTEE  
MEETING for Registration Confirmation (once after the  
completion of Course Work Examinations)**

The Research Advisory Committee for the Ph.D.

Scholar Mr./Ms..... Reg.No.....was held on

.....at .....A.M/P.M.in the Department of.....

....., VMRF(DU).

The following members were present:

- 1.
- 2.
- 3.
- 4.

The candidate has successfully completed the course work recommended by the Doctoral Committee.

Hence, candidate clears the course work it is recommended to confirm the provisional registration. She/he has obtained the following grades in the coursework.

Course Code	Course Title	Month & Year of Passing	Grade Obtained
<b>Comprehensive Examination</b>		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail



The research work and the Power Point Presentation done by the candidate are enclosed. The committee evaluated the research work carried out by the scholar and was satisfied with the progress and performance of the scholar. Hence the committee recommends the confirmation of Provisional Registration of the scholar in the faculty of..... and permits the scholar to proceed with his /her research work.

**Supervisor**

**Signature & Seal**

**RAC Member 1**

**Signature & Seal**

**RAC Member 2**

**Signature & Seal**

**Date:**

**Place:**



**ANNEXURE - VI**  
**Application for Submission of Synopsis**

1. Name of the candidate  
(In BlockLetters  
(as in the PG degree  
certificate) :
2. Discipline :
3. RegistrationNo. :
4. Gender : Male / Female /Transgender
5. AgeandD.O.B :
6. Address (InBlockLetters) :  
(with Mobile No. &Email\_id)
7. Details of PG / M.PhilDegree

Degree	Register No.	Month & Year of passing	Degree	Discipline
P.G.				
M.Phil				

8. Date of theprovisional registration :
9. Faculty and Department in which the  
Research was undertaken bythe candidate :
- 10 . a. Category at the time of Registration (FT/PT) :
11. b. Change of category, if any:
12. Date of Completion ofmaximumperiod :



13. Extension of period approved  
(date to be mentioned) :
14. Whether successfully completed the  
Pre. Ph. D written examination : Yes /No  
(Copy of the evidences to be enclosed)
15. Details of the Research Supervisor  
  
Name:  
Institution where working : Place :
16. Title of the thesis (In Block Letters) :
17. Date of Pre-Synopsis Meeting :  
  
(Minutes to be enclosed)
18. Synopsis submission fees of Rs.10000/-  
DD.No: payment details : Date:

Signature of the Candidate

Signature of the Research Supervisor :

Signature of the Head of the Department where the  
candidate / Research Supervisor is working :

Signature of the Head of the Institution  
/ Principal / Dean, where the candidate  
/ Research Supervisor is working for the Ph.D. degree :

**Note : DD to be drawn in the name of “VMRF (DU) Ph.D” payable at Salem**



**ANNEXURE - VI a**

**Manual Preparation of Ph.D. Synopsis  
(Prescribed Format and Specification)**

**1. General**

The synopsis is to be considered a detailed summary of the work with important results highlighting the original contributions in the thesis to be submitted. It should give an outline of the thesis. The review of earlier work is to be minimized just enough to highlight the contributions in the research work to be reported in the thesis. It is expected that at the time of submission of the synopsis, no work is yet to be completed except writing the thesis and all other academic requirements such as coursework, comprehensive examinations and the suggestions and directions given by members of the Research Advisory Committee have been full filled.

**2. Number of copies to be submitted**

Three hard copies and one soft copy (PDF & Word file) in a properly labelled CD are to be submitted to the University.

**3. Size of Synopsis**

The size of synopsis should be <30 pages of 1½ spacing on A4 size good quality white paper preferably not lower than 80 gsm.

**4. Arrangement of Contents of the Synopsis**

The sequence in which the thesis material should be arranged and bound

1. Cover Page & Title page
2. Declaration
3. Bonafide Certificate
4. Acknowledgement
5. Abstract
6. Table of Contents
7. List of Tables
8. List of Figures
9. List of Symbols and Abbreviations





10. Chapters
11. References
12. Appendices
13. List of Publications
14. Vitae

The Tables and Figures shall be introduced in the appropriate places.

#### 5. **Page Dimensions and Margin:**

The dimensions of the final bound copies of the thesis report should be 297mm×210mm (Standard A4size).

The synopsis should have the following page margins

Top edge : 25 to 30mm

Bottom edge : 25 to 30mm

Left side : 35 to 40 mm

Right side : 20 to 25mm

The synopsis should be prepared on good quality white paper preferably not lower than 80 gsm. Tables and figures should conform to the margin specifications. Large-size figures should be photographically or otherwise reduced to the appropriate size before insertion.

#### 6. **Synopsis Preparation**

The Scholar shall submit a typed copy of the manuscript to the supervisor for the purpose of approval. In the preparation of the manuscript, care should be taken to ensure that all textual matter is typewritten to the extent possible in the same format as may be required for the final thesis. Upon approval of the manuscript by the supervisor, the final synopsis should be prepared according to the specification outlined in this section as well as in the following sections.

##### ***General Typing Instructions***

- Corrections, interlineations and crossing out of letters or words will not be permitted in any of the copies of the thesis intended for submission. Erasures, if made, should be neatly carried out in all copies.



- A sub-heading at the bottom of a page must have atleast two full lines below it, or else, it should be carried over to the next page.
- The last word of any page should not be split using a hyphen.
- One and a half spacing should be used for typing the general text.
- The general text shall be typed in Font Style “Times New Roman” and Font Size 12.
- Single spacing should be used for typing:
  - (i) Long Tables
  - (ii) Long quotations
  - (iii) Footnotes
  - (iv) Multiline captions
  - (v) References
- All quotations exceeding one line should be typed in an indented space-the in dentation being 15mm from either margin.

## 7. Typing Instructions

The synopsis should have the following page margins

Top edge	: 25 to 30mm
Bottom edge	: 25 to 30 mm
Left side	: 35 to 40mm
Right side	: 20 to 25mm

- Tables and figures should conform to the margin specifications. Large-size figures should be photographically or otherwise reduced to the appropriate size before insertion.
- The general text shall be typed in Font Style “Times New Roman” and Font Size 12.
- The page-numbering for all items 1 to 3 should be done using lowercase Roman numerals and the pages there after should be number educing Arabic numerals.
- General formatting can be similar to that of the thesis (see format for Ph. D thesis). However, figures and equations are to



be numbered using running numbers. References can be numbered as 1, 2, 3 etc in the order in which they are referred to in the body of the synopsis. Only those, which are cited in the synopsis, need be given in the list of references.

- Cover Page & Title Page: A specimen copy of the Cover page & Title page for synopsis is given in Annexure X & XIb.
- Declaration: A specimen copy of the Declaration by the candidate is given in Annexure XI(c) and that by the Guide/Co-Guide in Annexure XI(d).
- Table of Contents: The table of contents should list all material following it (divisions and subdivisions of body of the synopsis) and the formatting shall be similar to that of the thesis (see format for Ph.D. thesis)

## **8. Specifications for Binding**

Each of the 6 copies of the synopsis should be sewn and bound using flexible cover of thick white art paper. The cover should be printed in black letters and the text for printing should be identical to what has been prescribed for the title page.

## **9. Submission of Synopsis**

Six softbound copies of the synopsis are to be submitted along with a soft copy of the synopsis (PDF file) on a CD with proper labeling.

The candidate has to submit the Thesis within six months from the date of submission of the synopsis.



**ANNEXURE - VI b  
CHECKLIST WHILE SUBMITTING Ph.D. SYNOPSIS**

- |     |  |         |
|-----|--|---------|
| 1.  | Proforma for submission of Synopsis (download from the website)  | YES /NO |
| 2.  | 3 copies of the Synopsis as per the norms of University Regulations  | YES /NO |
| 3.  | Soft copy of the Synopsis in CD in Word & PDF (1.No.)  | YES /NO |
| 4.  | Original Minutes of the RAC signed by all the members,   | YES /NO |
| 5.  | Panel of Examiners (both Indian and Foreign) with complete and correct postal address including Phone No, Mobile No, Fax No and correct E-mail ID (typed only) in a closed cover as per the Prescribed proforma. | YES /NO |
| 6.  | Copy of the University communication for registration  | YES /NO |
| 7.  | Copy of the PG / Qualifying Degree Certificate   | YES /NO |
| 8.  | Synopsis fee Proof(DD)   | YES /NO |
| 9.  | Declaration by the candidate (Annexure VI g)   | YES /NO |
| 10. | IEC – requirement (if yes certificate to be enclosed)  | YES /NO |
| 11. | Any animal studies involved (if yes CPCSEA certificate to be enclosed)   | YES /NO |
| 12. | Photo copy of the journal and Conference Publications/<br>Certificate of the Scholar   | YES /NO |
| 13. | Whether the candidate paid the fee and Progress report for all the years till the submission of Synopsis   | YES /NO |
| 14. | Proforma for Journal & conference  | YES /NO |
| 15. | Whether Synopsis submitted within the stipulated time  | YES /NO |
| 16. | If No, Extension of time obtained.   | YES /NO |
| 17. | Copy of the Extension order enclosed, if applicable  | YES /NO |
| 18. | Contact Phone No, Mobile No and E-mail ID of the Supervisor  | YES /NO |
| 19. | Covering letter duly signed by the Supervisor and forwarded<br>Through the HOD   | YES /NO |

**Checked and found correct**

**Signature of the Supervisor**



ANNEXURE - VI c

**PRE SYNOPSIS RESEARCH ADVISORY COMMITTEE REPORT**

NAME OF THE CANDIDATE :

NAME OF THE SUPERVISOR :

FACULTY & DISCIPLINE :

TITLE OF THE SYNOPSIS / THESIS :

The doctoral committee meeting was convened at \_\_\_\_\_

on \_\_\_\_\_ at \_\_\_\_\_

Mr./Ms. \_\_\_\_\_ Presented his/her Ph.D synopsis before the committee.

He/She answered to the questions quite satisfactorily and so it is recommended that the synopsis may be accepted.

**Enclose separate report including suggestions/ comments along with participants attendance during the presentation**

- Signature of the Supervisor :
- Signature of the RAC Member 1 :
- Signature of the RAC Member 2 :
- Signature of the Head of the Department  
where the candidate / Research Supervisor is working :
- Signature of the Head of the Institution  
/ Principal / Dean, where the candidate  
/ Research Supervisor is working for the Ph.D. degree :



**ANNEXURE - VI d**

**MODEL FOR COVER AND TITLE PAGE OF Ph.D. SYNOPSIS**

**Title of the Synopsis**  
<Font Size 18><1.5 line spacing>

---

---

**SYNOPSIS OF THE THESIS**  
<Font size 14>

**A Synopsis submitted  
In Partial Fulfillment of the Requirements  
For the Degree of**

---

<Font Size 14><Italic> Submitted by

---

(Candidate's name with initial only) <Font Size 16>

**Under the guidance of**

---

(Research Supervisor's name) <Font Size 16>



Department of \_\_\_\_\_  
Faculty of \_\_\_\_\_

**Vinayaka Mission's Research Foundation, (Deemed to be University)**  
**Sankari Main Road (NH-47), Ariyanoor, Salem - 636 308.**  
**Tamil Nadu, India**  
<Font Size 16><1.5 line spacing>

**Month, Year**  
<Font Size 14>



**ANNEXURE – VI e**

**PROFORMA FOR PANEL OF EXAMINERS**

Institution	
Department	
Name of the Student	
Broad field of Research (if applicable)	
Title of the Thesis	
Name and Designation of the Supervisor	
Address and Contact No. of the Supervisor	

**Date:**

**Signature of the Supervisor**  
(with seal)

**INSTRUCTIONS:** The supervisor should give a panel of Examiners (*who are currently working as Associate Professor/Professor/Scientist- E/F/G*) with their complete Biodata as per enclosed format for the constitution of Board of Examiners to adjudicate the Ph.D. thesis and to conduct the public vivavoce.



ANNEXURE - VI e...

**From Abroad**

S. No.	Name	Designation & Official Address	Qualification & Experience	Broad field of Research	Postal Address with official e-mail I.D. and Contact No.
1					
2					
3					
4					
5					

**\*Personal Email ID are not entertained**

**Signature of the Supervisor**  
(with seal)





(ADJUDICATION OF THESIS AND TO CONDUCT VIVA-VOCE EXAMINATION)

Five Indian Examiners 3 from Tamilnadu& Pondicherry and 2 from outside preferable adjacent states.

S. No.	Name	Designation & Official Address	Qualification & Experience	Broad field of Research	Postal Address with official e-mail I.D. and Contact No.
1					
2					
3					
4					
5					

**\*Personal Email ID are not entertained**

**Signature of the Supervisor**  
(with seal)



**External Examiners Bio-data format**

Name :

Designation/Presentposition :

Official Address for communication:

Email I.D. :

MobileNo. :

Qualification :

Degree	Subject	Institution	Year
Ph.D.			
M.Phil			
PG			
UG			

Discipline/Areaofspecialisation :

TeachingExperience/Employmenthistory :

M.Phil./Ph.D.Candidatesguided/awarded :

EditorialActivity/JournalReviewer :

Number ofPublications(International/National) :  
(last five years)

RecentPaperspublished : (Authors name, Journal name, Vol., year, pages)  
(Atleast three required)

**Signature of the Supervisor**  
(with seal)

*Note: Please enclosed a detailed CV from the examiner*





**ANNEXURE - VI f**

**Proforma For Publication and Conference**

<b>Name of the Candidate</b>											
<b>Registration No</b>											
<b>Title of the Thesis</b>											
<b>Journal Publication Details</b>						<b>Indexed Journal</b>					
S.NO	Name of the Authors	Title of the Paper	Name of the Journal	Publication Details (Volume / Issue Page Number ) if Book / Chapters ISSN No.	Month / Year	SCOPUS	Web of Science	PUBMED	IEEE	UGC	URL Link
1											
2											
3											
4											
5											
*Copy of the Reprint to be Enclosed											

<b>Conference/ Seminar /Presentation on Research Work</b>				
S.No	Name of the Conference / Seminar	Organised by	Date	Title of the Presentation
1				
2				
3				
4				
5				

\*Copy of the Certificate to be enclosed



**ANNEXURE – VI g**

**DECLARATION BY THE CANDIDATE**

The candidate \_\_\_\_\_ under faculty of \_\_\_\_\_ has completed the Ph.D Programme and course work as per the regulations of VMRF (DU) in line with UGC Ph.D regulations 2016,2020.

**(Signature of the candidate)**

**Place:**

**Date:**

**Certified by**

\_\_\_\_\_

**Research Supervisor**



**ANNEXURE - VII**

**Application Form for Submission of Thesis**

1. Name of the Candidate  
(In Block Letters as in  
the PGDegreeCertificate) :
2. Department & Register Number :
3. Address, Mobile. No., email address  
(For communication) :
4. Title of the Thesis :
5. Date of submission of synopsis :
6. Name, Designation and full address of  
Research Supervisor with  
email id and mobile no. :
7. Name of the Co-Supervisor if any :
8. Thesis submission fees (Rs.15,000/-)  
payment details : Amount: D. D. No. : Date :

Signature of the Candidate

Signature of the Co-supervisor  
(if any) with seal

Signature of the Research Supervisor  
with seal

Signature of the Head of the  
Department with seal

Signature of the Head of the  
Institution with seal

**Note : DD to be drawn in the name of “VMRF(DU) Ph.D”, payable at Salem.**



**ANNEXURE - VII a**

**GUIDELINES FOR THESIS PREPARATION**

**1. Introduction**

*Purpose*

This document, herein after referred to as 'Thesis Guide', lists the general and specific requirements governing thesis preparation, including guidelines for structuring the contents.

*Thesis Submission*

Besides various requirements for thesis submission such as submission of a list of examiners, additional copies of synopsis / abstract, and payment of thesis examination fees (for Ph.D. only), the students and their thesis supervisors should ensure that the guidelines are adhered to. while submitting the thesis.

**2. Specifications for Thesis Format**

*Preparation of Manuscript and Copies*

The thesis needs to be prepared using a standard text-processing software and must be printed in black text (color for images, if necessary) using a laser printer or letter quality printer in standard typeface (Times New Roman or Sans Serif font).

The thesis must be printed or photocopied on both sides of white paper. All copies of thesis pages must be clear, sharp and even, with uniform size and uniformly spaced characters, lines and margins



on every page of good quality white paper of 75 gsm or more.

Thesis should be free from typographical errors.

### ***Size and Margins***

A4 is the recommended thesis size.

The top, bottom and right side margins should be 25mm, whereas the left sidemargin should be 35 mm for both textual and non-textual (e.g., figures, tables) pages. Content should not extend beyond the bottom margin except for completing a footnote, last line of chapter/subdivision, or figure/table caption.

A sub-head at the bottom of the page should have atleast two full lines of content below it. If the sub-head is too short to allow this, it should begin on the next page. All tables and figures should conform to the same requirements as text. Color may be used for figures. If tables and figures are large, they may be reduced to the standard size (provided the reduced area is not less than 50% of the original) and /or folded just once to flush with the thesis margin (if the page size does not exceed 250x360 mm).

Students may choose to submit printed thesis copies either in the standard size (as in 2.2.1) or in a book format that is roughly half of A4. If the book format is adopted for submission, it should be ensured that all textual and illustrative material is distinct and legible. Students should also submit the thesis in soft form (PDF) for storage and archival.





### ***Page Numbering***

Beginning with the first page of the text in the thesis (chapter1), all pages should be numbered consecutively and consistently in Arabic numerals through the appendices. Page numbers prior to Chapter 1 should be in lower case Roman numerals. The title page is considered to be page (i) but the number is not printed.

All page numbers should be placed without punctuation in the upper right hand corner, 12 mm from the top edge and with the last digit even with the right-hand margin.

### ***Multi-Volume Thesis***

A thesis may be in two or more volumes, if required. The volume separation should come at the end(s) of major division(s). Volume I should comprise the preliminary pages prior to chapter 1, except the title page.

### ***Line Spacing***

The general text of the manuscript should be in 1.5 spacing (3 lines per inch). Long tables, quotations, footnotes, multi-line captions and bibliographic entries (references) should be in single spacing (6 lines per inch), with text size in 12 points (Times New Roman).

### ***Tables, Figures and Equations***

All tables (tabulated data) and figures (charts, graphs, maps,



images, diagrams, etc.) should be prepared, wherever possible, on the same paper used to type the text and conform to the specifications outlined earlier. They should be inserted as close to the textual reference as possible.

Tables, figures and equations should be numbered sequentially either throughout the thesis or chapter-wise using Arabic numerals. They are referred to in the body of the text capitalizing the first letter of the word and number, as for instance, Table 17, Figure 24, Equation (33), or Table 5.3, Figure 3.11, Equation (4.16), etc.

If tables and figures are of only half a page or less, they may appear on the same page as text but separated above and below by triple line spacing. Font size for text should be the same as for the general text.

Good quality Line Drawings/figures must be drawn using standard software that provides vector rather than bit-map graphics. Figures must be scalable.

Images, Photographs, etc. must be scanned in resolution exceeding 200dpi with 256 grayscales for the monochrome images and 24 bit per pixel for the colour images.

### ***Binding***

The student should submit the copies of the thesis in fully bound form (3-Spiral Binding) for Ph.D. at the time of submission for evaluation. Once the thesis is accepted, it is the student's



responsibility to get it properly bound before depositing 4 copies (1. University copy 2. Institution/Library copy 3. Research Supervisor copy 4. Research Scholar copy) at the time of Viva Voce Examination. The front cover of the bound copy should be the same as the title page of the thesis. The front cover should have printing on the side to include the author's name, abbreviated thesis title (optional), degree, department, and the year.

### **3. Guidelines for Structuring Contents**

#### ***Sequence of Contents***

The following sequence for the thesis organization should be followed:

- (i) Preliminaries
  - (a) Title Page as per the format given at the end of the Regulation.
  - (b) Certificate by the guide at the end of the Thesis.
  - (c) Declaration by the candidate.
  - (d) Acknowledgement and/ or Dedication.
  - (e) Table of Contents.
    - List of Figures, Tables, Illustrations, Symbols, etc (wherever applicable)
- (ii) Text of Thesis Introduction.



- The body of the thesis, summary and conclusions
- (iii) Reference Material List of References, Bibliography (wherein included).
- (iv) Appendices (if included)
- (v) Index (if included )

All the headings are centered (without punctuation) 25mm down the top edge of the page. The subsequent type-setting begins four spaces below the heading.

### ***Preliminaries Synopsis/Abstract***

- (i) A Ph.D. thesis should contain an abstract/synopsis not exceeding 1000 words (about four pages) in double spacing.
- (ii) Synopsis/abstract shall be printed in double space with the heading “SYNOPSIS/ABSTRACT” in uppercase followed by certain preliminary information and the text.
- (iii) Synopsis/Abstract should be self-complete and contain no citations for which the thesis has to be referred.

### ***Table of contents***

- (i) The table of contents lists all material that follows it. No preceding material is listed. Chapter titles, sections, first and second order sub-divisions, etc must be listed in it.
- (ii) Tables, figures, nomenclature, if used in the thesis, are listed under separate headings.



***The Text of the Thesis Introduction:***

Introduction may be the first chapter or its first major division. In either case, it should contain a brief statement of the problem investigated. It should outline the scope, aim, general character of the research and the reasons for the student's interest in the problem. The body of Thesis This is the substance of the dissertation inclusive of all divisions sub-divisions, tables, figures, etc.

***Summary and conclusions***

If required, these are given as the last major division (chapter) of the text. A further and final sub-division titled "Scope for Further Work" may follow.

***Reference material***

The list of references should appear as a consolidated list with references listed either alphabetically or sequentially as they appear in the text of the thesis. If pertinent works have been consulted but not specifically cited, they should be listed as Bibliography or General References. Spacing and font size should be consistent inside a single reference, and there should be double spacing between two different references.

***Reference Format***

For referencing an article in a scientific journal the suggested format should contain the following information: authors, title, name of journal, volume number, page numbers and year.



For referencing an article published in a book, the suggested format should contain, authors, the title of the book, editors, publisher, year, page number of the article in the book being referred to.

For referencing a thesis the suggested format should contain, author, the title of thesis, where thesis was submitted or awarded, and year.

A few examples of formats of references are given below and the student should be consistent in following the style.

### ***Journals***

- M.Ponnaivaikko and K.S.PrakasaRao, “Optimal Distribution System Planning”, IEEETrans. (PAS), June 1981, Vol.100, PP.26692977.
- K.R.Santhi, M.Ponnaivaikko, and N.Gangatharan, “ A comparative Study of Stability Testing of 2-D Recursive Digital Filters,” Journal of Computer Science, 2008, ISSN 1549-3636, Vol.4(12), pp976-981.

### ***Conference Proceedings***

- T.Thiagarajan, J.Shanmugham and M.Ponnaivaikko,” Artificial Neural Network based on Fuzzification models for air heat systems”, proceedings of the International conference on Trends in Industrial Measurements and Automation, TIMA 99, MIT, Chennai(India), Jan. 711,1999,PaperNo:48,PP34354.



- C.Lakshmi & Dr.M.Ponnaivaikko, “Improved kernel Discriminative common vector method for face recognition”, Proceeding of the IEEE International Advances Computing Conference, March 6-7, Tappar University, Patiala, India, published in IEEEExplore, pp:239-244.

### ***Books***

- ‘Computer Science - Tools’, Text Book authored by Dr.M.Ponnaivaikko, for Higher Secondary-Second year. 2006, Tamil- Nadu Text Book Corporation.

### ***Thesis***

- Mrs. JayamShakthi, “Security Issues in E-Commerce”, Ph.D. Thesis, BITS, Pilani, Rajasthan,2008.

### ***Technical Reports***

- Dr.M.Ponnaivaikko, Dr.K.Parthasarathy, “Distribution network planning for the Delhi Metropolitan City upto 1980” A Report on the Project sponsored by the Delhi Development Authority. I.I.Sc., Bangalore,1976.

### ***Patents***

- Dr.M.Ponnaivaikko, Principal Investigator, Dr.G.P.Dubey, et.al, Inter Disciplinary School of Indian System of Medicine (ISISM), SRM University, “Herbal formulation for the prevention and management of Type-2 diabetes mellitus and vascular



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complications associated with diabetes”, US Patent No. US 8,337,911B2, 2012.

### ***Appendix or Appendices***

- (i) Supplementary illustrative material, original data, and quotations too lengthy for inclusion in the text or which is not immediately essential to an understanding of the subject can be presented in Appendix or Appendices (as Appendix A, Appendix B, etc.)
- (ii) Each appendix with its title should be listed separately in the table of contents. Like wise, tables and figures contained in the Appendices are to be included in the lists of tables and figures, respectively.

### **4. Concluding Remarks**

This Thesis Guide lists only the basic requirements for preparing the thesis. Over and above the aforementioned points, a thesis should be reader-friendly in both its appearance and presentation. Several aspects of thesis preparation, particularly style of writing and presentation, have not been discussed in great detail. The student should follow appropriate ideas from standard literature of his/ her area of research, and adopt a uniform style and format throughout the thesis, such as in the structural divisions/subdivisions of the thesis, in the mode of citing references and footnotes in the text, in using dimensions, units and notations, and in preparing tables and figures, etc.





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**ANNEXURE - VII b**

**Checklist While Submitting Ph.D. Thesis**

1.	Proforma for submission of Thesis (download from the website)	YES /NO
2.	3 copies of the Thesis as per the norms of University Regulations	YES /NO
3.	Soft copy of the Thesis in CD (1 No.)	YES /NO
4.	Thesis fee Proof(DD)	YES /NO
5.	IEC – requirement(if yes certificate to be enclosed)	YES /NO
6.	Any animal studies involved (if yes CPCSEA certificate to be enclosed)	YES /NO
7.	Whether Thesis submitted within the stipulated time	YES /NO
8.	If No, Extension of time obtained.	YES /NO
9.	Copy of the Extension order enclosed, if applicable	YES /NO
10.	Covering letter duly signed by the Supervisor and forwarded through the HOD	YES /NO
11.	No dues certificate	YES /NO
12.	Plagiarism Report	YES /NO

**Checked and found correct**

**Signature of the Supervisor**



**ANNEXURE - VII c**

**NO DUE CERTIFICATE**

(All Ph.D. candidates have to submit the  
no due certificate before submission of the Thesis)

Certified that Mr/Ms ..... Department

..... College .....

.....

.....Ph.D.RegistrationNo ..... has no due in the

following Departments.

S.No	Department	Remarks	Name and Signature
1	Library		
2	Laboratory		
3	Accounts		

Dated:

Forwarded to Ph.D Section

Signature of the Research Supervisor  
with date & seal

Signature of the Head of the Department  
with date & seal

Signature of the Head of the Institution  
with date & seal



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**ANNEXURE - VII d**

**MODEL FOR COVER AND TITLE PAGE OF Ph.D. THESIS,**

---

( Title of the thesis as approved by the University - First letter of each word in capital )  
<Font Size 18><1.5 line spacing>

**Thesis submitted in partial fulfillment for the award of  
degree of Doctor of Philosophy in \_\_\_\_\_**  
(In small letters in two lines)<Font Size 14><Italic>

*By*

\_\_\_\_\_  
(Candidate's name with initial only) <Font Size 16>

**Under the guidance of**

\_\_\_\_\_  
(Research Supervisor's name)<Font Size 16>



**VINAYAKA MISSION'S RESEARCH FOUNDATION, SALEM  
(Deemed to be University)  
Declared Under Section 3 of the UGC Act, 1956**  
<Font Size 16><1.5 line spacing>

\_\_\_\_\_  
(Month & year of submission)  
<Font Size 14>



**ANNEXURE - VIII**

**The Wrapper Colour of the Ph. D. Thesis Faculty wise**

Medicine	-	Yellow
Engineering&Technology	-	Pink
Management	-	Dark green
Homeopathy	-	Gold
Nursing	-	White
Pharmacy	-	Skyblue
Physiotherapy	-	Light green
AlliedHealthsciences	-	Lime Yellow
RBS	-	Grey
Education	-	Black
Physicaleducation	-	Orange
Arts	-	Brown
Science	-	Violet
Dentistry	-	Red



**ANNEXURE – IX**

**DECLARATION BY THE CANDIDATE**

I, \_\_\_\_\_ (name of the candidate) declare that the synopsis / thesis (as applicable) entitled “..\_\_\_\_\_” (Title of the synopsis / thesis) submitted by me for the award of Degree of Doctor of Philosophy is the record of research work carried out by me during the period \_\_\_ (month & year of commencement & completion) under the guidance of Dr. \_\_\_\_\_ (name of the Research Supervisor) and that has not formed the basis for the award of any other degree, diploma, associate ship, fellowship or any other similar titles in this or any other institution of higher learning

**Place :**

**Date :**

**(Signature of the candidate)**



---

ANNEXURE – X

**CERTIFICATE BY THE RESEARCH SUPERVISOR**

Place:

Date:

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-----

-----

(Name & official address of Research Supervisor)

I, Dr. \_\_\_\_\_ certify that the synopsis/ thesis entitled “\_\_\_\_\_”  
submitted by Mr./ Ms. \_\_\_\_\_, for the award of the degree of Doctor of Philosophy in  
the department of \_\_\_\_\_ is the record of research work carried out by  
him/ her during the period \_\_\_\_\_ under my guidance and supervision and  
that this has not formed the basis for the award of any other degree, diploma,  
associate ship, fellowship or any other similar titles in this or any other institution of higher learning.

---

(Signature & official seal of the Co-  
supervisor) (if any)

---

(Signature & official seal of the Research  
Supervisor)



**ANNEXURE – XI**

*Format for plagiarism check certificate*

**CERTIFICATE OF PLAGIARISM CHECK**

1	Name of the Research Scholar/Student	
2	Title of the Thesis / Dissertation	
3	Name of the Supervisor	
4	Department/ Institution/ Research Centre	
5	Similar content (%) identified	
6	Acceptable Maximum Limit	10%
7	Software Used	
8	Date of Verification	

\*Report on plagiarism check, specify included/excluded item with % of similarity to be attached at the end of the Thesis.

Check by (with Name, designation & Signature):

**Research Co- Ordinator of the Institution (URKUND Expert)**

**Name & Signature of the Researcher :**

**Name & Signature of the Supervisor :**



**Certificate for Plagiarism**

It is certified that PhD Thesis Titled \_\_\_\_\_ -  
\_\_\_\_\_ by

\_\_\_\_\_ has been examined by us. We undertake the follows:

- a. Thesis has significant new work/knowledge as compared already published or are under consideration to be published elsewhere. No sentence, equation, diagram, table, paragraph or section has been copied verbatim from previous work unless it is placed under quotation marks and duly referenced.
- b. The work presented is original and own work of the author (i.e. there is no plagiarism). No ideas, processes, results or words of others have been presented as Author own work.
- c. There is no fabrication of data or results which have been compiled/analyzed.
- d. There is no falsification by manipulating research materials, equipment or processes, or changing or omitting data or results such that the research is not accurately represented in the research record.
- e. The thesis has been checked using URKUND (copy of originality report attached) and found within limits as per University plagiarism Policy and instructions issued from time to time.

**NAME & SIGNATURES OF THE DEPARTMENT ACADEMIC INTEGRITY PANEL/  
RESEARCH ADVISORY COMMITTEE\***

- 1.
- 2.
- 3.
- 4.

*Note: In the case of Languages like Malayalam, Tamil, etc. On which no software is available for plagiarism check, a manual check shall be made by the Doctoral Committee, for which an additional certificate has to be attached*





**ANNEXURE – XII**

**Ph.D., THESIS ADJUDICATION REPORT**

1. Name of the candidate : \_\_\_\_\_
2. Discipline : \_\_\_\_\_
3. Title of the Thesis : \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
4. Adjudicator's Name and Address : \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 Pin code : \_\_\_\_\_
- Phone No : \_\_\_\_\_ Mobile No : \_\_\_\_\_ E-mail ID : \_\_\_\_\_

Please enclose the detailed report on **the strengths and weaknesses** of the thesis. (200 – 300 words-mandatory)

**Final Recommendation**

I recommend that

- The thesis be accepted in the present form :
- The thesis is : Highly Commended   
 Commended
- The thesis be revised and resubmitted :
- The thesis be rejected :
- Also I recommend that
- The thesis be published in the present form :
- The thesis published after revision in the light of the report :
- The thesis is not allowed / worth for publication :

**List of questions to be asked at the public viva-voce : examination  
(to be enclosed-mandatory)**

**Date : \_\_\_\_\_ Signature of the Adjudicator**

**VMRF (DU) - Ph.D. -Revised Fee Structure with Effect on 01.07.2023**

S.No.	Particulars	Fee
1	Application fee for admission	Rs. 1,000/-
2	Registration fee	Rs. 10,000/-
<b>Tuition fee</b>		
3	Full Time	Rs. 50,000 / year
	Part Time ( External Candidates)	Rs. 75,000 / year
	Part Time ( Internal Candidates)	Rs. 25,000 / year (Fee concession of Rs. 50,000 / year)
<b>Pre-Ph.D. Exam fee</b>		
4	A. Medicine, Dentistry, Homoeopathy 3 x 7000	Rs. 21,000/-
	B. Nursing, Pharmacy, Physiotherapy, Allied Health Sciences, Rehabilitation Sciences, Management (3 X 6000)	Rs. 18,000/-
	C. Arts & Sciences, Engineering & Technology 3 x 5000	Rs. 15,000/-
5	Synopsis submission fee	Rs. 10,000/-
6	Thesis submission fee	Rs. 15,000/-
	Thesis Re-submission with Second review	Rs. 10,000/-
7	Viva – Voce examination fee	Rs. 10,000/-
8	Fee for extension of Time	Rs. 10,000/ 6months
9	Fee for Re-registration	Rs. 20,000/-
10	For permitting Change of guide on reasonable grounds as per the request of the student	Rs. 5,000(one time only)
<b>Other fees</b>		
11	Provisional Certificate fees	Rs. 2,000/-
12	Degree Certificate fees	Rs. 2,000/-
13	Transfer Certificate	Rs. 1,000/-
14	Migration Certificate	Rs. 3,000/-
15	Transcript	Rs. 3,000/-
16	Genuiness/ Verification fees	Rs. 5,000/-
17	WES	Rs. 6,000/-
18	WES Transcript	Rs. 6,000/-



## **DIRECTORATE OF RESEARCH**

Vinayaka Mission's Research Foundation,  
Sankari Main Road (NH-47), Ariyanoor, Salem - 636 308.